

Beyond Science and Decisions: From Problem Formulation to Dose-Response. Workshop IV

Date: May 22-24, 2012

Location: TCEQ, Austin, TX

Introduction

Dose-Response Advisory Committee (DRAC)

Alliance for Risk Assessment (ARA) Steering Committee

Sponsors

Dose-Response Advisory Committee

- Rick Becker, American Chemistry Council (ACC)
- Tiffany Bredfeldt, Texas Commission on Environmental Quality (TCEQ)
- Michael Dourson, Toxicology Excellence for Risk Assessment (TERA)
- Julie Fitzpatrick, Environmental Protection Agency (EPA)
- Roberta Grant, TCEQ
- Lynne Haber, TERA
- Lynn H. Pottenger, The Dow Chemical Company
- Jennifer Seed, EPA

ARA Steering Committee

- Anita Meyer, United States Army Corps of Engineers
- Annette Dietz, Oregon Department of Environmental Quality
- Bette Meek, University of Ottawa/Health Canada
- Edward Ohanian, United States Federal Employee
- Michael Honeycutt, Texas Commission on Environmental Quality
- Ralph Perona, Neptune & Company, Inc.
- Ruthann Rudel, Silent Spring
- William Hayes, State of Indiana
- Michael Dourson, Toxicology Excellence for Risk Assessment (TERA) (recused)

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Purpose

NAS (2009) and subsequent framework of ARA (2012) on problem formulation and dose-response analysis, through review of illustrative case studies for further development of methods.

Fourth in the Series of Workshops

Workshop I

TCEQ, March 16–18, 2010

Workshop II

 Crystal City, Virginia (in tandem with the Federal & State Risk Assessment & Toxicology Committee), October 11–13, 2010

Workshop III

Noblis; Falls Church, Virginia, May 4-6, 2011

Workshop IV

TCEQ, May 22–24, 2011

Overview of Workshop Objectives

- ▶ Build off the NAS (2009) report
 - Develop practical guidance for use by risk managers at a variety of levels
 - States, regional managers, various agencies, & industry
 - Risk assessment techniques applicable to specific problem formulations.
- Implement a multi-stakeholder approach to share information, ideas, and techniques in support of developing practical, problem-driven risk assessment guidance.

Housekeeping

- Look around now for exits in case of fire
- Cell phone reminder....
- Mid-morning and afternoon breaks
- Lunch speakers scheduled and lunches provided on Wednesday and Thursday
- The sessions are being webcast so remember there is a second audience listening on the phone. Please be mindful of their participation:
 - Use the mics to facilitate listening for those on webcast
 - Only one person speaking at a time
 - Identify yourself with name & affiliation when speaking

Workshops I-III Summary

- ~100 participants for each (webcast + in-person)
- 28 Case studies evaluated by Science Panel
- Framework for linking problem formulation & methodologies was developed & refined

Updates from DRAC

- SRA 2011: Double Symposium (Chairs: Fitzpatrick & Becker): Pressing Forward: Improving problem formulation & dose-response beyond "Science & Decisions"
- **SOT 2012:** 6 presentations
 - 2 case study presentations in "top 10" abstracts from RASS;
 - Current case study in "top 10" papers for 2011 from RASS
- Manuscript: A Framework for "Fit for Purpose" Dose-Response Modeling for Risk Assessment (Meek et al.)
- World Congress SRA 2012 (Australia!) Symposium (Chairs: J. Fitzpatrick & M. Hartley): Advances in Risk Assessment Methodologies
- SRA 2012 Symposium proposed (Chairs: Fitzpatrick & Pottenger): Putting It All Together: Recent Developments in Risk Assessment Approaches

Workshop IV

Workshop IV marks the transition to an evergreen approach, envisioned to be a continuing semi-annual workshop series to explore and review recent advances in risk assessment and dose-response assessment.

Workshop IV & beyond: Evergreen & Standing Science Panel

Evergreen

- Two meetings/year: 1 in-person, 1 web-based
- Transition to self-supporting, sustainable effort:
 - case studies brought to Science Panel for review/discussion

Standing Science Panel Role:

- Provide input on case study methods being proposed to enhance the risk framework.
- Provide input on the utility of the case study methods to address specific problem formulations,
- Identify areas for additional development of the case study and/or method.
- Inclusion of a method or case study in the framework as an illustration of a technique does not imply panel acceptance of the chemical-specific outcome.

Workshop IV & beyond: Standing Science Panel Selection

- Standing Science Panel Process:
 - Advertised for nominations (self or otherwise)
 - Previous panel asked about continued service
 - Received 25 nominations
 - ARA steering committee selected Standing Science Panel members
- Aim to include diverse range of expertise
 & affiliations
 - 9 standing Science Panel members
 - 1 alternate
 - 8 ad hoc address particular subject areas

Workshop IV & beyond: Standing Science Panel Affiliations

- Diverse Range of Affiliations:
 - 2 from the US Federal Government (EPA)
 - 2 from industry
 - 1 from academia
 - 2 from state government
 - 1 from nonprofit group
 - 1 consultant
 - 1 member of the NAS Science & Decisions Panel
 - Plus 1 ad hoc member Workshop IV: consultant

Standing Science Panel Members

- Richard Beauchamp, Texas Dept State Health Services
- James S. Bus, The Dow Chemical Company
- Rory Conolly, U.S EPA NHEERL
- Mike Dourson, TERA
- R. Jeffrey Lewis, ExxonMobil Biomedical Sciences, Inc.
- Bette Meek, McLaughlin Centre for Population Health Risk Assessment, University of Ottawa (Chairperson)
- Greg Paoli, Risk Sciences International (NAS 2009 Panel)
- Rita Schoeny, U.S. EPA
- Alan Stern, New Jersey Dept of Environmental Protection
- Ad hoc Workshop IV member: Lorenz Rhomberg, Gradient

Workshop Agenda: Day 1 (1:00 pm-5:30 pm)

- Welcome and Introduction & Updates:
 - Toby Baker, TCEQ, & DRAC members
- Keynote Address:

Incorporating New Technologies into Toxicity Testing and Risk Assessment: 21st C Vision to a Data-Driven Framework Rusty Thomas, The Hamner Institutes for Health Sciences

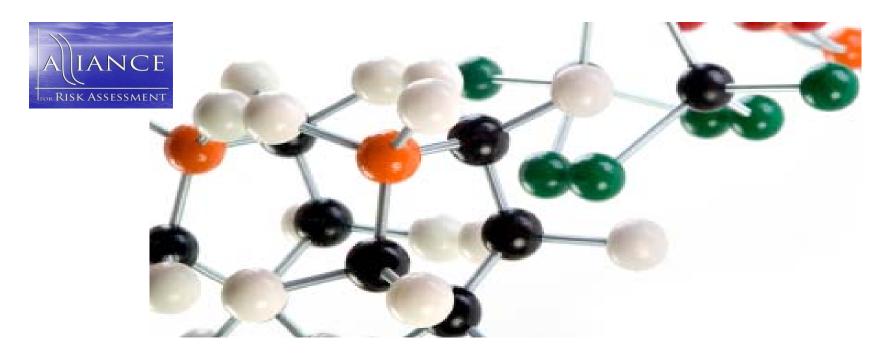
- Presentation of ARA Framework: Lynne Haber, TERA
- ▶ EPA's Response to NAS Framework: Rita Schoeny, EPA
- Observer Comments
- Reception (heavy *hors d'oeuvres*, 6:30 to 9:00)

Workshop Agenda: Day 2 (8 am -5:30 pm May 23)

- **Case Study** (8 11:30 am):
 - Criteria Réquirements for Data-Driven Carcinogenicity MOA Determinations: CHCl₃: Chris Borgert, Applied Pharmacology Toxicology Inc.
- **Lunch** (11:30 am –12:30 pm)
- **Updates** (12:30–2 pm)
 - EO Mode of Action (MOA) W. Gulledge, ACC
 - The Occupational Alliance for Risk Science (OARS) J. Perkins,
 UT Health Science Center
 - Naphthalene Mode of Action (MOA) L. Rhomberg, Gradient
 - Structure-Activity Relationships (SAR) Applied to Short Term Exposures: T. Bredfeldt, TCEQ
- ▶ Case Studies (2:30-5 pm):
 - Case Study Proposal: Value of Information: Eric Ruder, IEC
 - A Tiered Framework for Interpreting Human Biomonitoring Results: Rick Becker, ACC
- Observer Comments (5:00 to 5:30)

Workshop Agenda: Day 3 (8:30-noon, May 24)

- Combined Exposures Framework and Discussion: Bette Meek, U. Ottawa
- Case Studies (9:30 to noon):
 - The Human Relevant Potency Threshold: Reducing Uncertainty by Human Calibration of Cumulative Risk Assessments: Chris Borgert, Applied Pharmacology Toxicology Inc.
 - Methods for Deriving Inhalation Effect Levels for Comparison to Health-Protective Values: Roberta Grant, TCEQ
- Observer Comments and Closing remarks



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Workshop II/III Expert Panel

- 3 from the US Federal Government, with 2 from EPA
- 2 from industry
- 2 from academia
- 1 from state government, and 1 state government emeritus
- 2 from nonprofit groups
- ▶ 1 consultant
- 2 are members of the NAS Science & Decisions Panel

Activities between Workshops II & III

- Panel reviewed the case studies
- A draft risk framework was developed and posted on the ARA website
- New case studies were proposed and submitted to the Panel for consideration
- Panel used framework to identify areas and methodological issues where additional illustrative case studies were needed
- Those case studies were invited to be brought to Workshop III

Organizational Framework: Dose-Response Methods Presented

PHASE 1: Problem Formulation & Scoping

(Adapted from NAS [2009] Figure S-1)

- What problem(s) are associated with existing environmental conditions?
- If existing conditions appear to pose a threat to human or environmental health, what options exist for altering those conditions?
- Under the given decision context, what risk and other technical assessments are necessary to evaluate the possible risk management options?

Qualitative Decision

Quantitative Screening Decision

In-Depth Assessment

In-Depth Dose-Response Assessment

In-Depth Assessment

(Adapted from NAS [2009] Figure 5-8)

Assemble Health Effects Data

Endpoint Assessment

- Identify adverse effects, focusing on those of concern for exposed populations
- · Identify precursors and other upstream indicators of toxicity
- Identify gaps for example, endpoints or lifestages under-assessed or not assessed
 (Data gaps are noted qualitatively and addressed quantitatively with <u>uncertainty factors</u>)

MOA Assessment (for each endpoint of concern)

- Research MOAs for endpoints observed in animals and humans
- Evaluate the sufficiency of the MOA evidence
- Evaluate endogenous processes contributing to MOA



Vulnerable Populations Assessment

Identify potentially vulnerable groups and individuals, considering endpoints, the potential MOA, background rate of health effect, and other risk factors



Background Exposure Assessment

- Identify possible background exogenous and endogenous exposures
- Conduct screening level exposures and analysis focusing on high end exposure groups

Dose-Response Method Selection

Select dose-response model based on:

- Conceptual model
- Data availability
- Risk management needs for form of risk characterization



Dose-Response Modeling and Results Reporting

Workshop II/III Science Panel

- Provide guidance during the workshops
- Evaluate the case studies
- Use case studies to evolve methodologies and address crosscutting issues raised in NAS Science & Decisions report
- Balance across affiliation & expertise
 - Risk assessment and Toxicology

ACC Center for Advancing Risk Assessment Science & Policy (ARASP) Perspective

- Group of chemical-specific groups working towards improving risk assessment science and policy, given changing world of toxicology.
- Accelerate the development, evaluation and use of weightof-evidence frameworks, MOA analysis, & quantitative uncertainty methods in chemical risk assessments.
- Support ARA-sponsored workshops to broaden & deepen scientific discussion on dose-response assessment & MOA
 - Contribute case studies / scientific data & analyses and actively participate in the scientific discourse of the ARA project.

TCEQ's Perspective

- Commissioner's and executive management of the Texas Commission on Environmental Quality support these series of workshops
- Environmental regulations should be meaningful and provide the most benefit to those who need help
- Good science should lead the way and improve the process

EPA Perspective

The case studies being developed by this workshop series will be useful to the Agency as it moves forward in addressing the recommendations presented in *Science and Decisions*.

Panel Selection Process

- Announcement of workshops, with a call for Panel nominations
- DRAC also nominated panel member candidates
- ARA Steering Committee reviewed candidates and developed a prioritized list of nominees
- Invitations were sent to a total of 27 people
- Particular effort was made to include people from the NAS, 2009 Panel & environmental NGOs